



LARRY HOGAN  
Governor

BOYD K. RUTHERFORD  
Lieutenant Governor

DAVID R. BRINKLEY  
Secretary

MARC L. NICOLE  
Deputy Secretary

January 13, 2017

**Amendment No. 1 to  
Audit Services Contract  
Task Order Request for Proposals ASC-2016-12-002 (DBM)**

**Audit of SOC 2 Reports**

This Amendment No. 1 is being issued to amend and clarify certain information contained in the above named TORFP. All information contained herein is binding on all Master Contractors who respond to this TORFP. Specific parts of the TORFP have been amended. The following changes/additions are listed below; new language has been double underlined and marked in bold (ex. **new language**) and language deleted has been marked with a strikethrough (ex. ~~language deleted~~).

**KEY INFORMATION SUMMARY SHEET**

*All Terms and Conditions of the Master Contract apply.*

<b>REQUESTING AGENCY:</b>	<b>DBM</b>
<b>NAME OF AUDIT PROJECT:</b>	<b>Audit of SOC 2 Reports</b>
<b>TORFP NO:</b>	<b>ASC-2016-12-002 (DBM)</b>
<b>MBE Participation Goal:</b>	<b>Overall Certified MBE Participation Goal: <u>20</u> %</b>
<b>MBE Subgoals:</b>	<b>0</b>
<b>VSBE Participation Goal:</b>	<b>Overall Verified VSBE Participation Goal: <u>5</u> %</b>
<b>SBR TORFP?:</b>	<b><u>No</u></b>
<b>Agency's Living Wage Tier:</b>	<b><u>1</u></b>
<b>Is Non-Routine Travel Allowed (To Be Proposed?):</b>	<b><u>Yes</u></b>

**TORFP Release Date:** *December 28, 2017* **2016**

**TORFP Issuing Office:** **Department of Budget and Management**  
**Procurement Officer:** **~~Jamie Tomaszewski~~ Joy Epstein**  
**Office Phone:** **(410) 260-7386-7570**  
**Facsimile:** **(410) 974-3274**  
**E-mail:** **[dbm.cmo@maryland.gov](mailto:dbm.cmo@maryland.gov)**

**TOPs are to be submitted:** **Attached to the DBM *MOVEit* Website at:**  
**<https://sftp.dbm.state.md.us>**

~Effective Resource Management~

45 Calvert Street • Annapolis, MD 21401-1907

Tel: (410) 260-7570 • Fax: (410) 974-3274 • Toll Free: 1 (800) 705-3493 • TTY Users: call via Maryland Relay

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**Pre-TOP Conference:** *January 5, 2017 10:00AM local time  
Conference Room 164  
45 Calvert Street  
Annapolis, MD 21401*

**Closing Date and Time for TOP:** *January 25, 2017 (2:00PM)*

Attachment A- Scope of Work

2.0

This audit is being procured to obtain the services of an independent audit firm for the purpose described in the above paragraph. For the periods identified below (Audit Period), each identified HPA failed to provide the required SOC2 audit report. For the periods identified (Audit Period), the HPA obtained other types of independent assessments as identified in the chart below. This audit engagement is to obtain the services of a Master Contractor to audit each HPA for the periods previously identified, for which the HPA failed to obtain the required SOC2 audit.

Health Plan Administrator	Audit Period	SOC1 Type2	SOC2 Type2	Audit Period	Audit Firm
CareFirst of Maryland, Inc	1/1/2015– 6/30/2015 <del>10/01/2014-</del> <del>9/30/2015</del> and <del>10/1/2015-</del> <del>9/30/2016</del>	X	X	<del>7/1/2015-</del> <del>12/31/2015</del>	PriceWaterhouseCoopers
United Health Care Services, Inc.	1/1/2015 - 4/31/2015 <del>10/31/2015</del>	X			Deloitte & Touche LLC
	4/1/2015– 12/31/2015		X(*)	<del>4/1/2015-</del> <del>10/31/2015</del>	Deloitte & Touche LLC
Kaiser, Inc.	1/1/2015 - 12/31/2015 <del>8/1/2014-</del> <del>7/31/2015</del> <del>8/1/2015-</del> <del>7/31/2016</del>	X			KMPG <del>KPMG</del> LLP

(\*) SOC2 Type2 audit only included the following trust principles: Security, Availability, and Processing Integrity

The selected Master Contractor may be required to travel to the HPA's facility/ies. See below for locations where services are provided by each HPA, as related to the services being provided related to their contract with the Department.

Health Plan Administrator	Location(s)	
CareFirst of Maryland, Inc.	Lexington, KY for data center	<del>Owings Mills, MD</del> <b>Atlanta, GA for controls</b>
United Health Care Services, Inc.	Minnetonka, MN	
Kaiser, Inc.	Oakland, CA	Silver Spring, MD

Should you require clarification of the information provided in this amendment to the TORFP, please contact Ms. Joy Epstein at 410-260-7570 at the DBM Contracts Management Office.

Date Issued: January 13, 2017

By: *Joy Epstein*

Joy Epstein  
DBM Contract Manager